

SternBrothers&Co. Complete Transaction List - 2009

<u>Issue Date</u>	<u>Issue (Issuer)</u>	<u>Amount</u>
February, 2009	Ripon Area School District (Fond du Lac, Green Lake and Winnebago Counties, Wisconsin General Obligation Refunding Bonds	4,320,000
February, 2009	Kansas Development Finance Authority Kansas Transportation Revolving Fund Revenue Bonds, Series 2009-TR	30,950,000
February, 2009	City of Robstown, Texas (Nueces County) Combination Tax and Revenue Certificates of Obligation, Series 2009	6,497,343
February, 2009	Florida Housing Finance Corporation Multifamily Mortgage Revenue Bonds, 2008 Series K-2 (Autumn Place Apartments)	200,000
February, 2009	Overland Park Professional Center, LLC Commercial Taxable Variable Rate Demand Bonds (Overland Park Professional Center), Series 2004`	3,000,000
February, 2009	Kansas Development Finance Authority Tax-Exempt Refunding Revenue Bonds, Series 2009A (State of Kansas – Department of Administration)	3,860,000
February, 2009	City of Fort Worth, Texas (Tarrant, Denton and Wise Counties) Combination Tax and Will Rogers Memorial Center Complex Parking Revenue Certificates of Obligation, Series 2009	27,135,000
March, 2009	South Dakota Economic Development Finance Authority Economic Development Revenue Bonds (Hastings Filters, Inc. Project), Series 1996	8,410,000
March, 2009	Redstone Partners Floaters / Residual Trust, Series 2009 – Ohio – Columbus Regional Airport Authority Multifamily Housing Revenue Bonds, Series 2006 (Midwest Real Estate Services, LLC Project)	22,310,000
March, 2009	City of Kansas City, Missouri Water Refunding and Improvement Revenue Bonds, Series 2009A	196,575,000
March, 2009	Bi-State Development Agency of the Missouri-Illinois Metropolitan District Mass Transit Sales Tax Appropriation Bonds (Metrolink Cross County Extension Project), Series 2002A	25,000,000
March, 2009	Kansas Development Finance Authority Revenue Bonds (Kansas Board of Regents – Postsecondary Educational Institution Infrastructure Finance Program), Series 2009C	20,000,000
April, 2009	Calumet County, Wisconsin General Obligation Promissory Notes	4,600,000
April, 2009	State of Wisconsin General Fund Annual Appropriation Bonds of 2009, Series A	1,529,065,000
April, 2009	State of California Various Purpose General Obligation Bonds	6,543,020,000

April, 2009	City of Kansas City, Missouri Sanitary Sewer System Revenue Bonds, Series 2009A	70,670,000
April, 2009	Housing Authority of West Bend, Wisconsin VRDN (River Shores)	5,400,000
April, 2009	Capital Trust Agency Variable Rate Demand Housing Revenue Refunding Bonds (Atlantic Housing Foundation Properties), Series 2008; \$58,470,000 Series A; \$58,020,000 Taxable Series A-T	116,490,000
April, 2009	The Economic Development Corporation of the County of Lenawee (Michigan) Variable Rate Demand Revenue Refunding Bonds, Series 2009 (Siena Heights University Project)	8,375,000
April, 2009	Kansas Development Finance Authority Multifamily Housing Revenue Refunding Bonds (Oak Ridge Park Phase I Apartments), Series 2009D	5,000,000
April, 2009	The City of Plymouth, Wisconsin Variable Rate Demand Industrial Development Revenue Bonds (Plymouth Foam Incorporated Project), Series 2000	1,800,000
May, 2009	Michigan Strategic Fund (Grand Haven Plastics Project), Series 2000	5,000,000
May, 2009	M-B Companies, Inc. Adjustable Rate Taxable Demand Notes, Series 2008 (Wisconsin)	7,400,000
May, 2009	City of Savanna, Illinois Industrial Development Revenue Bonds, Series 2009 (Metform, L.L.C. Project)	3,400,000
May, 2009	Capital Area Housing Finance Corporation Variable Rate Demand Multifamily Housing Revenue Bonds (Encino Pointe Apartments), Series 2009 (Texas)	15,700,000
May, 2009	Texas Department of Housing and Community Affairs Variable Rate Demand Multifamily Housing Revenue Bonds (Costa Mariposa Apartments), Series 2009	13,690,000
May, 2009	St. Louis County, Missouri Special Obligation Refunding Bonds, Series 2009A	26,975,000
June, 2009	New Jersey Economic Development Authority (JCC of Atlantic County)	6,000,000
June, 2009	Kansas Independent College Finance Authority Revenue Anticipation Notes (Private Education Short-Term Loan Program) Tax-Exempt Series 2009A, B, C, D, E, F and G	7,600,000
June, 2009	City of Two Rivers, Wisconsin Variable Rate Demand Industrial Development Revenue Bonds, Series 2002 (Riverside Foods, Inc. Project)	3,500,000
June, 2009	Michigan State Hospital Finance Authority (Oaklawn Hospital), Series 2006	37,800,000
June, 2009	District of Columbia (American Association of Homes and Services)	16,155,000
June, 2009	City of Manhattan, Kansas Temporary Notes, Series 2009-02	2,665,000
June, 2009	City of Mission, Kansas General Obligation Refunding Bonds, Series 2009-A	22,910,000

June, 2009	Basic Water Company SPE 1, LLC Taxable Seven Day Redeemable Variable Rate Bonds (Nevada)	17,600,000
June, 2009	Orange County Housing Finance Authority Multifamily Housing Revenue Bonds 2006 Series B (The Club at Eustis)	8,000,000
June, 2009	Orange County Housing Finance Authority Multifamily Housing Revenue Bonds 2007 Series B (Marbella Cove)	9,500,000
June, 2009	Orange County Housing Finance Authority Multifamily Housing Revenue Bonds (The Glenn on Millenia Boulevard); \$6,710,000 2001 Series C; \$3,265,000 Taxable 2001 Series D	9,975,000
June, 2009	Orange County Housing Finance Authority Multifamily Housing Revenue Bonds (Mystic Cove Apartments); \$8,640,000 2002 Series E; \$1,500,000 Taxable 2002 Series F	10,140,000
June, 2009	Orange County Housing Finance Authority Multifamily Housing Revenue Bonds 2004 Series A (Lee Vista Club Apartments)	16,000,000
June, 2009	Orange County Housing Finance Authority Multifamily Housing Revenue Bonds 2005 Series A (The Cove at Lady Lake Apartments)	9,800,000
June, 2009	Orange County Housing Finance Authority Multifamily Housing Revenue Bonds 2005 Series B (Lakeside Pointe Apartments)	7,600,000
June, 2009	Orange County Housing Finance Authority Multifamily Housing Revenue Bonds 1997 Series D (Water View Club Apartments Project)	6,665,000
June, 2009	City of Urbandale, Iowa Variable Rate Demand Industrial Development Refunding Revenue Bonds (Interstate Acres Limited Partnership Project), Series 1994	6,000,000
June, 2009	City of Urbandale, Iowa Variable Rate Demand Industrial Development Refunding Revenue Bonds (Meredith Doctors Association Project), Series 1985	7,500,000
June, 2009	City of Urbandale, Iowa Floating Rate Monthly Demand Industrial Development Revenue Bonds (Aurora Business Park Associates Project), Series 1985	9,200,000
June, 2009	The Health and Educational Facilities Board of The Metropolitan Government of Nashville and Davidson County, Tennessee Multifamily Housing Revenue Bonds (Whispering Oaks Apartments Project), Series 2007	15,000,000
June, 2009	Redstone Floaters / Residuals Trust, Series 2009B (Tennessee Deal); Hickory Forest Apartments	4,560,000
July, 2009	Ripon Area School District (Fond du Lac, Green Lake and Winnebago Counties, Wisconsin) General Obligation Promissory Notes	500,000
July, 2009	Dormitory Authority of the State of New York State Personal Income Tax Revenue Bonds (General Purpose); \$583,885,000, Series 2009A; \$204,060,000 Series 2009B (Refunding); \$10,065,000 Series 2009C (Federally Taxable Refunding)	798,010,000

July, 2009	The City of St. Louis, Missouri (Lambert-St. Louis International Airport) Airport Revenue Bonds, Series 2009A-1, Series 2009 A-2 and Series 2009 B	129,970,000
July, 2009	Missouri Housing Development Commission Single Family Mortgage Revenue Bonds (Homeownership Loan Program), 2009 Series A (Non-AMT)	30,000,000
July, 2009	Kansas Development Finance Authority Revenue Bonds, Series 2009G (Kansas Board of Regents – Pittsburg State University Student Health Center Project)	825,000
July, 2009	Kansas Development Finance Authority Revenue Bonds; \$1,170,000 Series 2009H-1 and \$13,460,000 Taxable Revenue Bonds, Series 2009H-2 (Build America Bonds – Direct Payment To Issuer); (Kansas Board of Regents – Pittsburg University Housing System Improvement Project)	14,630,000
July, 2009	Kansas Development Finance Authority Revenue Bonds; \$665,000 Revenue Bonds Series 2009J-1 and \$3,880,000 Taxable Revenue Bonds Series 2009J-2 (Build America Bonds – Direct Payment To Issuer); (Kansas Board of Regents – Pittsburg State University Parking System Project)	4,545,000
July, 2009	Florida Housing Finance Corporation Homeowner Mortgage Revenue Bonds, 2009 Series 1 (Non-AMT)	35,000,000
July, 2009	The City of Kiel, Wisconsin (Polar Ware Company Project) Variable Rate Demand Bonds, Series 2000	3,150,000
July, 2009	The Curators of the University of Missouri System Facilities Revenue Bonds, Series 2009B	75,760,000
July, 2009	Texas Department of Housing and Community Affairs Variable Rate Demand Multifamily Housing Revenue Bonds (Woodmont Apartments), Series 2009	15,000,000
July, 2009	The Industrial Development Authority of the County of James City, Virginia (Chambrel at Williamsburg Project), Series 2002	24,350,000
July, 2009	The Industrial Development Authority of Marion County (Chambrel at Pinecastle Project), Series 2002	9,241,000
July, 2009	Garland Health Facilities Development Corporation (Chambrel at Club Hill Project), Series 2002	25,019,000
July, 2009	Harris County Housing Finance Corporation (Primrose at Bammell Apartments), Series 2005	12,600,000
July, 2009	Housing Authority of the City of Roswell, Georgia (Chambrel at Roswell Project), Series 2002	29,780,000
July, 2009	Travis County Housing Finance Corporation (Rosemont at Old Manor Apartments), Series 2004	13,400,000
July, 2009	Harris County Housing Finance Corporation (Primrose at Aldine-Bender Apartments), Series 2004	12,340,000

July, 2009	Ohio Housing Finance Agency (Chambrel at Montrose Project), Series 2002F	12,451,000
August, 2009	Southwestern Illinois Development Authority Variable Rate Demand Revenue Bonds (Arizon Companies of Illinois, Inc. Project), Series 2007	3,000,000
August, 2009	Kansas Development Finance Authority (State of Kansas Projects); \$76,555,000 Revenue Bonds, Series 2009M-1; \$40,360,000 Taxable Revenue Bonds, Series 2009M-2 (Build America Bonds – Direct Payment to Issuer); \$10,050,000 Taxable Revenue Bonds, Series 2009N	126,965,000
August, 2009	Dormitory Authority of the State of New York State Personal Income Tax Revenue Bonds (General Purpose); \$404,540,000 Series 2009D; \$101,210,000 Series 2009E (Federally Taxable); \$755,810,000 Series 2009F (Federally Taxable – Build America Bonds)	1,261,560,000
September, 2009	Kansas Development Finance Authority Sales Tax Revenue Bonds (K-State – Olathe Innovation Campus, Inc. Project); Series 2009L-1 - \$15,225,000; Series 2009L-2 Taxable - \$15,275,000	30,500,000
September, 2009	Southwestern Illinois Development Authority Local Government Program Revenue Bonds, Series 2009-B (City of Granite City Project)	4,210,000
September, 2009	The Industrial Development Authority of the County of Grundy, Missouri Health Facilities Revenue Bonds (Wright Memorial Hospital), Series 2009	30,000,000
September, 2009	Missouri Highways and Transportation Commission Federal Reimbursement State Road Bonds; \$195,625,000 Tax-Exempt Federal Reimbursement State Road Bonds, Series A 2009; \$404,375,000 Taxable Federal Reimbursement State Road Bonds (Build America Bonds), Series B 2009	600,000,000
September, 2009	Finance Authority of Maine Waste Motor Oil Revenue Bonds, 2009 Series A (Federally Taxable)	14,495,000
September, 2009	State of California 2009-10 Revenue Anticipation Notes, Series A-1 and Series A-2; \$2,825,000,000 Series A-1; \$5,975,000,000 Series A-2	8,800,000,000
September, 2009	Dormitory Authority of the State of New York, State of New York Consolidated Service Contract, Refunding Revenue Bonds, Series 2009; \$607,160,000 Series 2009A; \$9,580,000 Series 2009B (Federally Taxable)	616,740,000
October, 2009	Florida Housing Finance Corporation Homeowner Mortgage Revenue Bonds, 2009 Series 2 (Non-AMT)	100,000,000
October, 2009	The Industrial Development Authority of St. Charles County, Missouri Variable Rate Demand Industrial Development Revenue Bonds (Trinity Manufacturing Project), Series 2006	7,180,000
October, 2009	State of California Various Purpose General Obligation Bonds (\$1,102,300,000) and General Obligation Refunding Bonds (\$210,790,000)	1,313,090,000
October, 2009	The Industrial Development Authority of Greene County, Missouri Recovery Zone	3,200,000

2009	Facility Bonds (Siemens Project), Series 2009	
October, 2009	Illinois Finance Authority Adjustable Rate Demand Revenue Bonds, Concordia University, Series 2009	30,000,000
October, 2009	Maverick County, Texas: \$5,000,000 Limited Tax and Revenue Certificates of Obligation, Series 2009; \$13,960,000 Limited Tax and Revenue Refunding Bonds, Series 2009; and \$1,500,000 Tax Notes, Series 2009	20,460,000
October, 2009	New Jersey Economic Development Authority Variable Rate Revenue Bonds (Jewish Community Center of Atlantic County), Series 2002	5,050,000
October, 2009	Village of Oostburg, Wisconsin Variable Rate Demand Industrial Development Revenue Bonds (Dutchland Plastics Corp. Project), Series 2007	6,900,000
October, 2009	Missouri Housing Development Commission Single Family Mortgage Revenue Bonds (Homeownership Loan Program), 2009 Series C (Non-AMT)	40,000,000